

## **APPLICATION FOR APPROVAL AND REGISTRATION OF TRADERS**

### **Application**

The member

corporate registration no.

(the “**Member**”) is a member of, or has applied for membership at Nordic Growth Market NGM AB (“**NGM**”) and ATS Finans AB (“**Spotlight Stock Market**”), together (the “**Exchanges**”). In order for the Member to be approved for trading in the Exchange’s trading system, the Member must have at least two traders who are registered and approved by the Exchange. The traders must be employed by the Member.

The Member shall procure, and is responsible for, that the traders who are registered comply with the requirements set out in the Exchange’s member rules, applicable from time to time.

The Member hereby applies for registration of the following person(s) (the “**Trader(s)**”).

Name	Social security no/ Personal identity no	E-mail	Phone
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Place and date

Member (company name)

Signature\*

\* Head of trading or other person authorized to sign the application

Clarification of name

**Certification**

It is hereby certified that the Trader(s) meet the requirements for traders at the Exchange in accordance with the Exchange's member rules, that the Trader(s) are suitable to act as (a) trader(s) and have good knowledge of both the Exchange's member rules and the regulations applicable to the securities market in the form of statutes, regulations and other enactments, rules (including self-regulation) and generally accepted practices.

Place and date

Place and date

Signature\*

Signature\*

\* Compliance officer

\* Head of Trading

Clarification of name

Clarification of name