

Additional Mandatory Documents

As part of the admission process, the issuer shall provide following documents to the exchange:

Reports

The issuer shall provide the exchange with information which is necessary for the exchange to evaluate the Issuer. Thereof the issuer shall provide the exchange with annual reports and audit reports (or equivalent information) for the preceding three financial years, prospectuses issued during this period and interim reports for the time after the latest annual report.

Prospectus

If a prospectus is required under the Swedish Financial Instrument Trading Act (SFS 1991:980), such prospectus shall have been produced and registered and approved by the Swedish Financial Supervisory Authority (Sw. *Finansinspektionen*). If the competent authority is not the Swedish Financial Supervisory Authority (Sw. *Finansinspektionen*), a verification of the passport shall be submitted to NDX.

Certificate of incorporation

The issuer must submit a certificate of incorporation together with the original signed agreements.

Signatories

A document which clearly shows the authority of the signatories to sign the framework agreement on behalf of the issuer shall be submitted to the exchange. In some jurisdictions, this information is clearly set out in the certificate of incorporation. In such cases, it is sufficient to submit the certificate of incorporation referred to above.



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Nordic Growth Market

Nordic Growth Market (NGM) is an Exchange authorized by the Swedish Financial Supervisory Authority and is today a wholly-owned subsidiary of Börse Stuttgart Holding GmbH, also the owner of Börse Stuttgart, the leading retail exchange in Germany. For more information about NGM, please visit www.ngm.se.